

Changes of Executives

Mitsubishi UFJ Securities Holdings Co., Ltd. hereby announces the following changes of Executives.

1. Changes of Executives

(as of May 15, 2018)

New Position	Current Position	Name
▽Executive Officer, Supervisory Executive of Risk Management Division (Special Assignment), General Manager of Financial Planning Division, Chief Data Officer	(Executive Officer, Supervisory Executive of Risk Management Division (Special Assignment), Deputy Supervisory Executive of Financial Planning Division, Chief Data Officer)	Hiroyuki Ogata

(as of June 1, 2018)

New Position	Current Position	Name
▽Deputy President, General Managing Officer of International Corporate Strategy Division, Co- General Managing Officer of Global Business Strategy Division	(Deputy President, General Managing Officer of Corporate Planning Division, Financial Planning Division, International Corporate Strategy Division, Strategic Alliance Division, Human Resources Division, General Affairs Division, System Planning Division, Co-General Managing Officer of Global Business Strategy Division)	Takami Onodera
▽Member of the Board of Directors, Senior Managing Executive Officer, Global Chief Financial Officer, Global Coordinator of Information Technology, General Managing Officer of Corporate Planning Division, Financial Planning Division, Strategic Alliance Division, System Planning Division	(Member of the Board of Directors, Senior Managing Executive Officer, Global Chief Financial Officer, Supervisory Executive of Corporate Planning Division, Financial Planning Division)	Akio Ninomiya
▽Member of the Board of Directors, Managing Executive Officer	(Member of the Board of Directors, Managing Executive Officer, Global Chief Audit Executive, General Managing Officer of Internal Audit Division)	Tetsuya Nakamura
▽Member of the Board of Directors, Managing Executive Officer, Global Chief Audit Executive, General Managing Officer of Internal Audit Division	(Member of the Board of Directors, Managing Executive Officer, Global Chief Compliance Officer, Global Coordinator of Operations, Global Coordinator of Legal, General Managing Officer of Compliance Control Division, Operations & Procedures Planning Division, Legal Division)	Mitsugu Enjoji
▽Retiring	(Managing Executive Officer, Special Assignment)	Koji Nishimoto
▽Managing Executive Officer	(Managing Executive Officer, Global Coordinator of Information Technology, Supervisory Executive of System Planning Division)	Makoto Hayashi
▽Managing Executive Officer, Global Chief Compliance Officer, Global Coordinator of Operations, Global Coordinator of Legal, General Managing Officer of Compliance Control Division, Operations & Procedures Planning Division, Legal Division	(Managing Executive Officer, Resident Executive Officer)	Akihiro Sugimura

▽Managing Executive Officer, Global Coordinator of Human Resources, General Managing Officer of Human Resources Division, General Affairs Division, Corporate Communications Division	(Managing Executive Officer, Global Coordinator of Human Resources, Supervisory Executive of Human Resources Division, General Affairs Division, Corporate Communications Division)	Tetsuro Shinohara
▽Executive Officer, Special Assignment		Hiroyuki Hayashi
▽Retiring	(Executive Officer, Supervisory Executive of Global Business Strategy Division)	Yumesaku Ishigaki
▽Executive Officer, Resident Executive Officer, Deputy General Managing Officer of International Corporate Strategy Division	(Executive Officer, Chairman of MUS Securities Asia Limited)	Yasutaka Suehiro
▽Executive Officer, Supervisory Executive of Corporate Planning Division, Financial Planning Division, Strategic Alliance Division	(Executive Officer, General Manager of Global Business Strategy Division)	Naoki Isetani
▽Executive Officer, Deputy General Manager of Global Business Strategy Division		Koichiro Oshima
▽Executive Officer, General Manager of Global Business Strategy Division		Yoshitake Manabe

(as of June 27, 2018)

The following changes are to be made to titles effective June 27

i) Deputy President → Member of the Board of Directors, Deputy President, ii) Senior Managing Director → Member of the Board of Directors, Senior Managing Executive Officer, iii) Principal Executive Officer → Senior Managing Executive Officer iv), Managing Director → Member of the Board of Directors, Managing Executive Officer.

New Position	Current Position	Name
▽Advisor	(Member of the Board of Directors, Member of the Audit & Supervisory Committee)	Mitsuo Kumata
▽Retiring	(Deputy President, General Managing Officer of International Corporate Strategy Division, Co-General Managing Officer of Global Business Strategy Division)	Takami Onodera
※to be appointed as Chairman of the Board, Representative Director of Mitsubishi UFJ NICOS Co., Ltd.		
▽Advisor	(Member of the Board of Directors, Managing Executive Officer)	Tetsuya Nakamura
▽Retiring	(Member of the Board of Directors)	Masamichi Yasuda
▽Member of the Board of Directors		Naomi Hayashi
▽Retiring	(Managing Executive Officer)	Makoto Hayashi
▽Senior Managing Executive Officer	(Managing Executive Officer)	Shigeru Asai
▽Member of the Board of Directors, Managing Executive Officer	(Managing Executive Officer)	Akihiro Sugimura
▽Member of the Board of Directors, Member of the Audit & Supervisory Committee		Yoichi Kato
▽Managing Executive Officer	(Executive Officer)	Hiroyuki Hayashi

▽Managing Executive Officer	(Executive Officer)	Yasutaka Suehiro
▽Executive Officer, General Manager of International Corporate Strategy Division	(General Manager of International Corporate Strategy Division)	Tetsuya Niimi
▽Executive Officer, Supervisory Executive of System Planning Division	(Assistant Executive of System Planning Division)	Kazunori Yamagata

(as of July 1, 2018)

New Position	Current Position	Name
▽Member of the Board of Directors, Senior Managing Executive Officer, General Managing Officer of Corporate Planning Division, Financial Planning Division, Strategic Alliance Division, System Planning Division, Co-General Managing Officer of Operations & Procedures Planning Division, Global Chief Financial Officer, Global Head of Information Technology, Co-Global Head of Operations	(Member of the Board of Directors, Senior Managing Executive Officer, Global Chief Financial Officer, Global Coordinator of Information Technology, General Managing Officer of Corporate Planning Division, Financial Planning Division, Strategic Alliance Division, System Planning Division)	Akio Ninomiya
▽Member of Board of Directors, Managing Executive Officer, General Managing Officer of Compliance Control Division, Legal Division, Co-General Managing Officer of Operations & Procedures Planning Division, Global Chief Compliance Officer, Global Head of Legal, Co-Global Head of Operations	(Member of Board of Directors, Managing Executive Officer, Global Chief Compliance Officer, Global Coordinator of Operations, Global Coordinator of Legal, General Managing Officer of Compliance Control Division, Operations & Procedures Planning Division, Legal Division)	Akihiro Sugimura
▽Member of Board of Directors, Managing Executive Officer, General Managing Officer of Risk Management Division, Global Chief Risk Officer	(Member of Board of Directors, Managing Executive Officer, Global Chief Risk Officer, General Managing Officer of Risk Management Division)	Tsutomu Sambai
▽Member of the Board of Directors, Managing Executive Officer, General Managing Officer of Internal Audit Division, Global Chief Audit Executive	(Member of the Board of Directors, Managing Executive Officer, Global Chief Audit Executive, General Managing Officer of Internal Audit Division)	Mitsugu Enjoji
▽Senior Managing Executive Officer, General Managing Officer of International Corporate Strategy Division, COO-I		Eichi Yoshikawa
▽Senior Managing Executive Officer, General Managing Officer of Domestic Business Strategy Division		Kiyoshi Sato
▽Senior Managing Executive Officer, Co-General Managing Officer of Global Business Strategy Division, Global Head of Sales & Trading	(Senior Managing Executive Officer, Global Head of Sales & Trading, Co-General Managing Officer of Global Business Strategy Division)	Shigeru Asai
▽Managing Executive Officer, Supervisory Executive of Domestic Business Strategy Division (Special Assignment)	(Managing Executive Officer, Special Assignment)	Hiroyuki Hayashi
▽Managing Executive Officer, Co-General Managing Officer of Global Business Strategy Division, International Supervision Executive of Capital Markets		Makoto Kobayashi
▽Managing Executive Officer, General Managing Officer of Human Resources Division, General Affairs Division, Corporate Communications Division, Global Head of Human Resources	(Managing Executive Officer, Global Coordinator of Human Resources, General Managing Officer of Human Resources Division, General Affairs Division, Corporate Communications Division)	Tetsuro Shinohara
▽Managing Executive Officer, Deputy General Managing Officer of International Corporate Strategy Division, Deputy COO-I, International Supervision Executive of Corporate Affairs	(Managing Executive Officer, Resident Executive Officer, Deputy General Managing Officer of International Corporate Strategy Division)	Yasutaka Suehiro

<p>▽Managing Executive Officer, Supervisory Executive of Domestic Business Strategy Division (Special Assignment)</p>	<p>(Managing Executive Officer, Special Assignment)</p>	<p>Hitoshi Usui</p>
<p>▽Executive Officer, Deputy General Manager of Domestic Business Strategy Division</p>		<p>Koji Nakayama</p>
<p>▽Executive Officer, Supervisory Executive of Corporate Planning Division, Financial Planning Division, Strategic Alliance Division, International Supervision Executive of Change Management</p>	<p>(Executive Officer, Supervisory Executive of Corporate Planning Division, Financial Planning Division, Strategic Alliance Division)</p>	<p>Naoki Isetani</p>
<p>▽Executive Officer, General Manager of Domestic Business Strategy Division</p>		<p>Noriyuki Miyata</p>
<p>▽Executive Officer, Deputy General Manager of Global Business Strategy Division</p>		<p>Yoishi Orihara</p>
<p>▽Executive Officer, Deputy General Manager of Global Business Strategy Division</p>		<p>Hirochika Iwadare</p>