Kenji Horikawa

Changes of Executives

Mitsubishi UFJ Securities Holdings Co., Ltd. hereby announces the following changes of Executives.

Changes of Executives

(as of April 1, 2020)

General Managing Officer of Human Resources Division, General Affairs

Division, Global Head of Human Resources

New Position	Current Position	Name
∇Retiring	(Senior Managing Executive Officer, General Managing Officer of Business Planning Division)	Kiyoshi Sato
∇Advisor	(Managing Executive Officer, General Managing Officer of Human Resources Division, General Affairs Division, Global Head of Human Resources)	Tetsuro Shinohara
ablaRetiring	(Managing Executive Officer, Supervisory Executive of Business Planning Division (Special Assignment))	Hitoshi Usui
∇ Advisor	(Executive Officer, General Manager of Internal Audit Division)	Masahiro Kameda
∇Retiring	(Executive Officer, Deputy General Manager of Global Markets Sales and Trading Division)	Yoichi Orikasa
∀Member of the Board of Directors, Managing Executive Officer, General Managing Officer of Operations & Procedures Planning Division, Compliance Control Division, Wholesale Compliance Division, Legal Division, Global Chief Compliance Officer, Global Head of Operations, Global Head of Legal	(Member of the Board of Directors, Managing Executive Officer, General Managing Officer of Operations & Procedures Planning Division, Compliance Control Division, Legal Division, Global Chief Compliance Officer, Global Head of Operations, Global Head of Legal)	Akihiro Sugimura
∇ Member of the Board of Directors, Managing Executive Officer	(Member of the Board of Directors, Managing Executive Officer, General Managing Officer of Risk Management Division, Global Chief Risk Officer)	Tsutomu Sambai
▽Senior Managing Executive Officer, Deputy General Managing Officer of Corporate Planning Division(Special Assignment), Deputy COO-I, International Supervision Executive of Corporate Affairs)	(Managing Executive Officer, General Managing Officer of O&D Planning Division, Supervisory Executive of Global Markets Sales and Trading Division (Special Assignment), Deputy Global Head of Sales & Trading - Solutions, International Supervision Executive of Capital Markets)	Makoto Kobayashi
▽Senior Managing Executive Officer, General Managing Officer of Business Planning Division		Naoyuki Hamada
∇Managing Executive Officer, Supervisory Executive of O&D Planning Division, Deputy Supervisory Executive of Global Markets Sales and Trading Division (Special Assignment)	(Managing Executive Officer, Deputy General Managing Officer of Corporate Planning Division(Special Assignment), Deputy COO-I, International Supervision Executive of Corporate Affairs))	Yasutaka Suehiro
∇ Managing Executive Officer, General Managing Officer of Risk Management Division, Global Chief Risk Officer	(Executive Officer, Deputy General Manager of Global Markets Sales and Trading Division)	Hirochika Iwadare
∇ Managing Executive Officer, Supervisory Executive of Business Planning Division (Special Assignment)		Koji Nakajima
▽Managing Executive Officer, General Managing Officer of O&D Planning Division, Supervisory Executive of Global Markets Sales and Trading Division (Special Assignment), Deputy Global Head of Sales & Trading - Solutions, International Supervision Executive of Capital Markets	(Executive Officer, Supervisory Executive of O&D Planning Division, Deputy Supervisory Executive of Global Markets Sales and Trading Division (Special Assignment))	Koichiro Oshima
∇Managing Executive Officer,		

 $\label{eq:managing} $$ \overline{\ \ }$ Managing Executive Officer, $$ Deputy General Manager of Global Markets Sales and Trading Division) $$$

Masashi Kanematsu

 ∇ Executive Officer General Manager of Corporate Planning Division

Shinjiro Yamamoto