

Changes of Executives

Mitsubishi UFJ Securities Holdings Co., Ltd. hereby announces the following changes of Executives.

1. Changes of Executives

(as of March 31, 2021)

New Position	Current Position	Name
▽Retiring	(Councilor, Deputy General Manager of Global Markets Planning Division, Global Head of Corporate Sales)	Hiroaki Sasai

(as of April 1, 2021)

New Position	Current Position	Name
▽Retiring	(Member of the Board of Directors, Managing Executive Officer, General Managing Officer of Operations & Procedures Planning Division, Compliance Control Division, Wholesale Compliance Division, Legal Division, Global Chief Compliance Officer, Global Head of Operations, Global Head of Legal)	Akihiro Sugimura

※to be appointed as Managing Executive Officer of Mitsubishi UFJ Kokusai Asset Management Co., Ltd.

▽Retiring	(Member of the Board of Directors)	Naomi Hayashi
▽Retiring	(Senior Managing Executive Officer, General Managing Officer of Global Markets Planning Division, Head of Sales & Trading)	Masamichi Yasuda
▽Retiring	(Managing Executive Officer, Supervisory Executive of Business Planning Division (Special Assignment))	Hiroyuki Hayashi

※to be appointed as Advisor of Mitsubishi UFJ Morgan Stanley Securities Co., Ltd.

▽Advisor	(Executive Officer, General Manager of Corporate Communications Division)	Kumi Hanaoka
▽Advisor	(Executive Officer, Supervisory Executive of Corporate Planning Division(Special Assignment))	Tetsuya Niimi
▽Retiring	(Executive Officer, General Manager of O&D Planning Division)	Fumitaka Nakahama

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Member of the Board of Directors, Senior Managing Executive Officer, Co-General Managing Officer of Corporate Planning Division, General Managing Officer of Corporate Communications Division, Supervisory Executive of Business Planning Division (Special Assignment), Deputy COO-I, International Supervision Executive of Corporate Affairs, International Supervision Executive of Change Management	(Member of the Board of Directors, Senior Managing Executive Officer, Deputy General Managing Officer of Corporate Planning Division (Special Assignment) , Deputy COO-I, International Supervision Executive of Corporate Affairs)	Makoto Kobayashi
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▽Member of the Board of Directors, Managing Executive Officer	(Member of the Board of Directors, Managing Executive Officer, General Managing Officer of Internal Audit Division, Global Chief Audit Executive)	Yasuhiro Kaga
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▽Member of the Board of Directors, Managing Executive Officer	(Member of the Board of Directors, Managing Executive Officer, Co-General Managing Officer of Corporate Planning Division, General Managing Officer of Corporate Communications Division, International Supervision Executive of Change Management)	Naoki Isetani
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Member of the Board of Directors, Managing Executive Officer, General Managing Officer of Risk Management Division, Global Chief Risk Officer, Chief Data Officer	(Member of the Board of Directors, Managing Executive Officer, General Managing Officer of Risk Management Division, Global Chief Risk Officer)	Hirochika Iwadare
▽Member of the Board of Directors, Managing Executive Officer, General Managing Officer of Operations & Procedures Planning Division, Compliance Control Division, Wholesale Compliance Division, Legal Division, Global Chief Compliance Officer, Global Head of Operations, Global Head of Legal	(Executive Officer, Deputy General Manager of Global Markets Planning Division)	Kazuki Tobimatsu
▽Member of the Board of Directors	—	Masahiro Kuwahara
▽Senior Managing Executive Officer, General Managing Officer of Global Markets Planning Division, Global Head of Sales & Trading	(Managing Executive Officer, Supervisory Executive of Global Markets Planning Division (Special Assignment))	Masashi Kanematsu
▽Managing Executive Officer, General Managing Officer of Internal Audit Division, Global Chief Audit Executive	(Executive Officer, General Manager of Risk Management Division)	Hiroyuki Tomita
▽Executive Officer, General Manager of Financial Planning Division	(Executive Officer, Supervisory Executive of Risk Management Division (Special Assignment), General Manager of Financial Planning Division, Chief Data Officer)	Hiroyuki Ogata
▽Executive Officer, General Manager of Corporate Communications Division	—	Shiro Honjo
Executive Officer, Supervisory Executive of Global Markets Planning Division (Special Assignment)	(Executive Officer, Deputy General Manager of Global Markets Planning Division)	Nobuho Nanjo
▽Councilor, Councilor of au Kabucom Securities Office	(General Manager of Compliance Control Division)	Masato Watanabe