

Changes of Executives

Mitsubishi UFJ Securities Holdings Co., Ltd. hereby announces the following changes of Executives.

1. Changes of Executives

(as of June 28, 2021)

New Position	Current Position	Name
▽Retiring	(Member of the Board of Directors, Member of the Audit & Supervisory Committee, Chairman of the Audit & Supervisory Committee)	Tetsuhiro Kida
▽Advisor	(Member of the Board of Directors, Managing Executive Officer)	Yasuhiro Kaga
▽Advisor	(Member of the Board of Directors, Managing Executive Officer)	Naoki Isetani
▽Member of the Board of Directors, Member of the Audit & Supervisory Committee	—	Yutaka Yanagisawa
▽Member of the Board of Directors, Managing Executive Officer	(Managing Executive Officer)	Hiroyuki Tomita

(as of July 1, 2021)

New Position	Current Position	Name
▽President & Global CEO	(President & CEO)	Saburo Araki
▽Member of the Board of Directors, Senior Managing Executive Officer, Global CFO (General Managing Officer of Financial Planning Division)	(Member of the Board of Directors, Senior Managing Executive Officer, General Managing Officer of Financial Planning Division, Global Chief Financial Officer)	Akio Ninomiya
▽Member of the Board of Directors, Senior Managing Executive Officer, Global CSO (Co-General Managing Officer of Corporate Planning Division, General Managing Officer of Corporate Communications Division), Supervisory Executive of Business Planning Division (Special Assignment), Deputy COO-I (Deputy General Managing Officer of Corporate Planning Division (Special Assignment)), International Supervision Executive of Corporate Affairs, International Supervision Executive of Change Management)	(Member of the Board of Directors, Senior Managing Executive Officer, Co- General Managing Officer of Corporate Planning Division, General Managing Officer of Corporate Communications Division, Supervisory Executive of Business Planning Division (Special Assignment), Deputy COO-I, International Supervision Executive of Corporate Affairs, International Supervision Executive of Change Management)	Makoto Kobayashi
▽Member of the Board of Directors, Managing Executive Officer, Global CAO (General Managing Officer of Internal Audit Division)	(Member of the Board of Directors, Managing Executive Officer, General Managing Officer of Internal Audit Division, Global Chief Audit Executive)	Hiroyuki Tomita
▽Member of the Board of Directors, Managing Executive Officer, Global CRO (General Managing Officer of Risk Management Division), CDO	(Member of the Board of Directors, Managing Executive Officer, General Managing Officer of Risk Management Division, Global Chief Risk Officer, Chief Data Officer)	Hirochika Iwadare
▽Member of the Board of Directors, Managing Executive Officer, Global Head of Operations (General Managing Officer of Operations & Procedures Planning Division), Global CCO (General Managing Officer of Compliance Control Division, Wholesale Compliance Division), Global CLO (General Managing Officer of Legal Division)	(Member of the Board of Directors, Managing Executive Officer, General Managing Officer of Operations & Procedures Planning Division, Compliance Control Division, Wholesale Compliance Division, Legal Division, Global Chief Compliance Officer, Global Head of Operations, Global Head of Legal)	Kazuki Tobimatsu
▽Senior Managing Executive Officer, COO-I (Co-General Managing Officer of Corporate Planning Division)	(Senior Managing Executive Officer, Co-General Managing Officer of Corporate Planning Division,COO-I)	Takayoshi Futae
▽Senior Managing Executive Officer, Global Head of Sales & Trading (General Managing Officer of Global Markets Planning Division)	(Senior Managing Executive Officer, General Managing Officer of Global Markets Planning Division, Global Head of Sales & Trading)	Masashi Kanematsu

<p>▽Managing Executive Officer, International Supervision Executive of Capital Markets (General Managing Officer of O&D Planning Division), Deputy Global Head of Sales & Trading - Solutions (Supervisory Executive of Global Markets Sales and Trading Division (Special Assignment))</p>	<p>(Managing Executive Officer, General Managing Officer of O&D Planning Division, Supervisory Executive of Global Markets Planning Division (Special Assignment), Deputy Global Head of Sales & Trading - Solutions, International Supervision Executive of Capital Markets)</p>	<p>Koichiro Oshima</p>
<p>▽Managing Executive Officer, Global CHRO (General Managing Officer of Human Resources Division), General Managing Officer of General Affairs Division</p>	<p>(Managing Executive Officer, General Managing Officer of Human Resources Division, General Affairs Division, Global Head of Human Resources)</p>	<p>Kenji Horikawa</p>
<p>▽Executive Officer, Global CIO (General Managing Officer of System Planning Division)</p>	<p>(Executive Officer, General Managing Officer of System Planning Division, Global Head of Information Technology)</p>	<p>Kazunori Yamagata</p>