

Changes of Executives

Mitsubishi UFJ Securities Holdings Co., Ltd. hereby announces the following changes of Executives.

1. Changes of Executives

(as of April 1, 2024)

New Position	Current Position	Name
▽Member of the Board of Directors, Senior Managing Executive Officer, Global CSO (Co-General Managing Officer of Corporate Planning Division), Global CHRO (General Managing Officer of Human Resources Division)	(Member of the Board of Directors, Managing Executive Officer, Global CSO (Co-General Managing Officer of Corporate Planning Division, General Managing Officer of Corporate Communications Division), Global CHRO (General Managing Officer of Human Resources Division), General Managing Officer of General Affairs Division)	Kenji Horikawa
▽Member of the Board of Directors, Managing Executive Officer	(Member of the Board of Directors, Managing Executive Officer, Global CRO (General Managing Officer of Risk Management Division), CDO)	Hirochika Iwadare
▽Member of the Board of Directors, Managing Executive Officer, Global CFO (General Managing Officer of Financial Planning Division), Global Deputy CSO (Deputy General Managing Officer of Corporate Planning Division)	(Member of the Board of Directors, Managing Executive Officer, Global CFO (General Managing Officer of Financial Planning Division), Global Deputy CSO (Deputy General Managing Officer of Corporate Planning Division, Corporate Communications Division))	Shinjiro Yamamoto
▽Retiring	(Member of the Board of Directors)	Shuichi Yokoyama
▽Member of the Board of Directors	—	Katsunori Yokomaku
※ subject to election at Extraordinary General Shareholders Meeting on April 1.		
▽Retiring	(Managing Executive Officer, Supervisory Executive of Corporate & Institutional Business Planning Division (Special Assignment))	Hiroyuki Oda
※ to be appointed as Senior Advisor of IBD of Mitsubishi UFJ Morgan Stanley Securities Co., Ltd. on April 1.		
▽Retiring	(Managing Executive Officer, Supervisory Executive of Business Planning Division (Special Assignment))	Koji Nakajima
※ to be appointed as Chairman of MUS Business Service Co., Ltd. on April 1.		
▽Managing Executive Officer, General Managing Officer of Corporate Communications & ESG Division,General Affairs Division	(Executive Officer, General Manager of Corporate Communications Division)	Saiko Nanri
▽Managing Executive Officer, Global CRO (General Managing Officer of Risk Management Division), CDO	(Executive Officer, General Manager of Risk Management Division)	Tsuyoshi Tsuyuzaki
▽Managing Executive Officer, Senior Executive to the Global Head of S&T, Supervisory Executive of Global Markets Planning Division (Special Assignment)	(Executive Officer, Senior Executive to the Global Head of S&T, Supervisory Executive of Global Markets Planning Division (Special Assignment))	Tomoyuki Kamioka
▽Retiring	(Executive Officer, Deputy General Manager of Operations & Procedures Planning Division)	Kazuhiro Sugiyama
▽Retiring	(Executive Officer, Deputy General Manager of Compliance Control Division)	Yasuhiro Morishita
※ to be appointed as Advisor of Mitsubishi UFJ Morgan Stanley Securities Co., Ltd. on April 1.		
▽Executive Officer, Deputy General Manager of Business Planning Division	—	Masatomo Odagawa

▽Councilor, Councilor of Online securities strategy Office	(Executive Officer, Deputy General Manager of Human Resources Division)	Takahiro Ibe
※ to be appointed as Senior Managing Executive Officer of au Kabucom Securities Co., Ltd. on April 1.		
▽Retiring	(Executive Officer, Deputy General Manager of Global Markets Planning Division)	Takahiro Ueyama
▽Executive Officer, Deputy General Manager of Compliance Control Division	(Executive Officer, General Manager of Compliance Control Division)	Mitsuteru Yamane
▽Retiring	(Executive Officer, General Manager of O&D Planning Division)	Shinichi Sato
※ to be appointed as Managing Executive Officer of MUFG Bank, Ltd. on April 1.		
▽Executive Officer, General Manager of Compliance Control Division	—	Takayuki Sakurai
▽Executive Officer, Deputy General Manager of Global Markets Planning Division	—	Keiichi Akamatsu
▽Councilor, Councilor of Online securities strategy Office	(Councilor, Councilor of au Kabucom Securities Office)	Akio Ninomiya
▽Councilor, Councilor of Online securities strategy Office	(Councilor, Councilor of au Kabucom Securities Office)	Tsunehisa Uehara
▽Councilor, Councilor of Online securities strategy Office	(Councilor, Councilor of au Kabucom Securities Office)	Masato Watanabe
▽Councilor, Councilor of Online securities strategy Office	—	Kosuke Itani

(as of June 26, 2024)

New Position	Current Position	Name
▽Advisor	(Member of the Board of Directors, Member of the Audit & Supervisory Committee)	Hideyuki Sago
▽Member of the Board of Directors, Member of the Audit & Supervisory Committee	—	Takahiro Ueyama
※ subject to election at Shareholders Meeting on June 26.		
▽Advisor	(Member of the Board of Directors, Managing Executive Officer)	Hirochika Iwadare
▽Member of the Board of Directors, Managing Executive Officer, Global CRO (General Managing Officer of Risk Management Division), CDO	(Managing Executive Officer, Global CRO (General Managing Officer of Risk Management Division), CDO)	Tsuyoshi Tsuyuzaki
※ subject to election at Shareholders Meeting on June 26.		
▽Executive Officer, Deputy General Manager of Global Markets Planning Division	(Deputy General Manager of Global Markets Planning Division)	Akitomo Toki
▽Executive Officer, General Manager of Internal Audit Division	(General Manager of Internal Audit Division)	Hiroko Kubo
▽Executive Officer, Deputy General Manager of Compliance Control Division	(Deputy General Manager of Compliance Control Division)	Kazunori Hashimoto
▽Executive Officer, General Manager of Financial Planning Division	(General Manager of Financial Planning Division)	Junzo Hashiba
▽Executive Officer, Deputy General Manager of Human Resources Division	(Deputy General Manager of Human Resources Division)	Hikaru Umehara

▽Executive Officer, General Manager of Legal Division	(General Manager of Legal Division)	Kunio Tsukamoto
▽Executive Officer, General Manager of O&D Planning Division	(General Manager of O&D Planning Division)	Satoshi Nakamura